PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

To:

Assistant Commissioner for Patents United States Patent and Trademark Office

Box PCT

Washington, D.C.20231 ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year) 04 October 2000 (04.10.00)	in its capacity as elected Office
International application No. PCT/NZ00/00013	Applicant's or agent's file reference MF801779/142
International filing date (day/month/year) 11 February 2000 (11.02.00)	Priority date (day/month/year) 11 February 1999 (11.02.99)
Applicant WATSON, Russell et al	

1.	The designated Office is hereby notified of its election made:
	X in the demand filed with the International Preliminary Examining Authority on:
	06 September 2000 (06.09.00)
	in a notice effecting later election filed with the International Bureau on:
2.	The election X was
	was not
	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).
	•

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland **Authorized officer**

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N7. 11 February 1999 (11.02.99) NZ

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(81) Designated States: AE, AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), DM, EE, EE (Utility model), ES, FI, FI (Utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

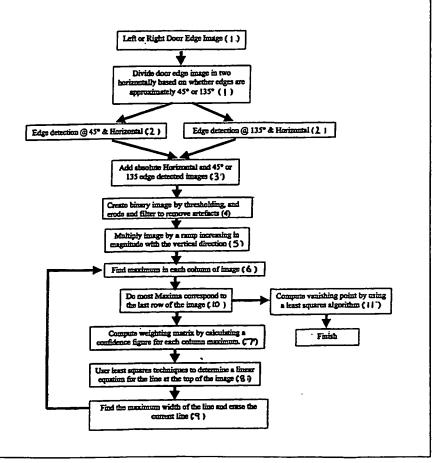
Published

With international search report.

(54) Title: OBSTRUCTION DETECTION SYSTEM

(57) Abstract

The invention provides for a method of detecting objects in an area, the method including obtaining one or more images of the area, using an edge detection technique in such a way as to highlight substantially dominant linear features in the image(s), and determining if any dominant linear features intersect linear features defining the area. The method may also include detecting parallax in at least two images, the parallax being produced by the presence of 3-dimensional objects in the area.



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INTERNATIONAL SEARCH REPORT

International application No.

		PC1/N	Z 00/00013	
A.	CLASSIFICATION OF SUBJECT MATTER	· · · · · · · · · · · · · · · · · · ·		
Int Cl ⁷ :	B66B 13/26			
According to Ir	nternational Patent Classification (IPC) or to both nation	nal classification and IPC		
В.	FIELDS SEARCHED			
Minimum docu B66B 13/26	mentation searched (classification system followed by	classification symbols)		
Documentation AU: IPC as a	searched other than minimum documentation to the exabove	tent that such documents are included in th	e fields searched	
Electronic data	base consulted during the international search (name of	f data base and, where practicable, search to	erms used)	
C.	DOCUMENTS CONSIDERED TO BE RELEVAN	Г		
Category*	Citation of document, with indication, where ap	propriate, of the relevant passages	Relevant to claim No.	
A	US 5387768 A (IZARD ET AL) 7 February 1995 entire document			
A	US 5182776 A (SUZUKI ET AL) 26 January 1993 entire document			
Α	DE 19522760 A (DORMA GmbH & Co Ko entire document	G) 10 April 1997		
	Further documents are listed in the continuation of Box C	X See patent family an	ınex	
* Special categories of cited documents: "A" Document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date "L" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document combined with one or more other such documents, such combination being obvious to a person skilled in the art document member of the same patent family				
	al completion of the international search	Date of mailing of the international searc	· ·	
28 April 200	ong address of the ISA/AU	Authorized officer	MAY 2000	
AUSTRALIAN PO BOX 200 WODEN ACT	PATENT OFFICE 2606 AUSTRALIA s: pct@ipaustralia.gov.au	D.G. FRY Telephone No.: (02) 6283 2130 .		

INTERNATIONAL SEARCH REPORT

.nternational Application No.

PCT/NZ 00/00013 Box 1 Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet) This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following Claims Nos.: ł. because they relate to subject matter not required to be searched by this Authority, namely: 2. Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically: 3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a) Box II Observations where unity of invention is lacking (Continuation of item 3 of first sheet) This International Searching Authority found multiple inventions in this international application, as follows: Claims 1 and 35 define a method and apparatus which determines if dominant linear features intersect linear features defining the area. Claims 23 and 36 define the step of detecting parallax in two or more images of an area. There is no common special technical feature. The specification at page 40 lines 8-15 indicates such features are different. As all required additional search fees were timely paid by the applicant, this international search report covers 1. all searchable claims As all searchable claims could be searched without effort justifying an additional fee, this Authority did not 2. invite payment of any additional fee. As only some of the required additional search fees were timely paid by the applicant, this international search 3. report covers only those claims for which fees were paid, specifically claims Nos.: No required additional search fees were timely paid by the applicant. Consequently, this international search 4. report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: Remark on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT



International application No. PCT/NZ 00/00013

Information on patent family members

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Member				
US	5387768	MX 9306642	EP 595550	JP 6256535	US 5378997	BR 9304342
		MX 9306643	EP 595561	JP 6263887	US 5389178	BR 9304343
		GB 2272121	EP 600597	JP 7015245		
US	5182776	CN 1054844	GB 2243906	HK 1502/95	JP 3256934	JP 3264478
DE	19522760	NONE				

END OF ANNEX

CLAIMS

3 J. S. P. WO 00/47511

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- 1. A method of detecting objects in an area, the method including obtaining one or more images of the area, using an edge detection technique in such a way as to highlight substantially dominant linear features in the image(s), and determining if any dominant linear features intersect linear features defining the area.
- 2. A method of detecting objects in an area as claimed in claim 1 wherein the area is an object detection zone, the area being separated into at least two zones; a primary zone, being the volume described by a door and a door sill; and a secondary zone, which may include the volume beyond the door through which a person using the door would pass.
- 15 3. A method of detecting objects in an area as claimed in claim 2 wherein primary zone is the door(s) and sill of an elevator and secondary zone is the landing/lobby where passengers may wait for the elevator.
- 4. A method of detecting objects in an area as claimed in any one of claims 1 to 3 wherein there are at least two images and the method includes a further step of detecting parallax in the two or more images, the parallax being produced by the presence of an object in the area, more specifically in the secondary zone.
- 25 5. A method of detecting objects in an area defined by a door and/or sill, said method including using edge detection techniques in such a way so as to highlight the substantially dominant linear features in an image or image(s), and determining if any dominant linear features intersect linear features defining said door and/or sill.
 - 6. A method of detecting objects in an area as claimed in claim 5 wherein the method includes a preliminary stage of characterising one or more images to establish the presence of any characteristic linear features in the area, said

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characteristic linear features are lines defining the door edges and/or sill and the location of said features is stored for future reference.

- 7. A method of detecting objects in an area as claimed in claim 5 wherein the method also includes an operational stage which analyses one or more images to establish the presence of any uncharacteristic features in the volume, said uncharacteristic features representing potential object and/or obstructions in the area.
- 10 8. A method of detecting objects in an area as claimed in claims 6 or 7 wherein the preliminary stage includes at least two steps, a first step of detecting the location and dimensions of a door sill and a second step of detecting the location and dimensions of one or more door edge(s).
- 15 9. A method of detecting objects in an area as claimed in claim 8 wherein the first step includes:

using substantially horizontal and/or substantially vertical edge detection filters to highlight the dominant vertical and/or horizontal lines in the part of the image where the sill is known to be approximately located; summing the intensity values along each row of pixels in the image(s) produced using the vertical and/or horizontal edge detection filters thus producing a vertical and/or horizontal function with maxima and/or minima corresponding to the position of horizontal linear features and/or vertical linear features, said linear features defining the spatial location of the door sill in terms of horizontal and vertical features in the image.

- 10. A method of detecting objects in an area as claimed in claim 8 or claim 9 wherein the second step includes:
 - using knowledge of the spatial location of the sill and knowledge of the physical relationship between the sill and the door edge(s) to obtain a sub-image or sub-images of the door(s);

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subjecting the sub-image(s) to edge detection filters adapted to highlight edges oriented at angles which lie between some known bounds;

manipulating the sub-image(s) to produce a binary image(s), the binary image(s) consisting of one of more linear features corresponding to the door edges; and

deriving equations for the linear features in the binary image(s).

- 11. A method of detecting objects in an area as claimed in claim 10 wherein the known bounds are substantially vertical and substantially horizontal edges.
 - 12. A method of detecting objects in an area as claimed in claim 10 or 11 wherein prior to deriving equations for the linear features in the binary image(s) the second step may also include:

manipulating the binary image by a ramp function which increases in magnitude in the vertical direction;

further manipulating the images to clearly identify any dominant linear features in the binary image(s), the manipulation including applying a first filter to remove any substantially isolated features in the binary image(s), and applying a second filter to the binary image(s) to thin any substantially linear features in the image(s).

- 13. A method of detecting objects in an area as claimed in any one of claims 10 to 12 wherein the equations of the linear features are obtained by locating the line(s) by means of a least squares, or similar, technique; if there is more than one dominant linear feature in the image(s), once the equation for any one linear feature has been determined, that linear feature is removed from the image and the next dominant linear feature equated.
- 30 14. A method of detecting objects in an area as claimed in any one of claims 10 to 13 wherein a total weighting means is used to manipulate an estimate of the equation for each linear feature, thereby improving the confidence of the

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equation for that linear feature, the total weighting means being found by normalising, and if necessary multiplying, one or more of:

a first weighting means, wherein the derivative and variance of a linear feature are determined, changes in the derivative and distance of points of the feature which are outside a given parameter representing breaks in the feature, the first weighting means down weighting or eliminating said points from the estimate; and/or

a second weighting means, wherein points in a linear feature further away from the image capture source are given a higher weighting than points in the same feature which are closer to the image capture source; and/or

a third weighting means, wherein the third weighting means is the inverse of the derivative of the feature; and/or

a fourth weighting means, wherein linear features which do not span any sub-image from vertical edge to vertical edge are weighted.

- 15. A method of detecting objects in an area as claimed in any preceding claim wherein the edge detection may be effected by means of filters, differentiators and the like.
- 16. A method of detecting objects in an area as claimed in any preceding claim wherein said edge detection is aimed at highlighting dominant lines orientated substantially horizontal, vertical and substantially diagonal, more particularly the diagonal lines are at substantially 45° and 135°, in the image(s).
- 17. A method of detecting objects in an area as claimed in claim 7 wherein the operational stage includes the steps of:

representing the door edges.

capturing one or more real time operational images of the area;
detecting the position of a door or doors in the image(s);
detecting the presence of objects in the area of the image(s)
representing a sill; and
detecting the presence of objects in the area of the image(s)

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- 18. A method of detecting objects in an area as claimed in claim 17 wherein the position of the doors is obtained by detecting the intensity change in the substantially horizontal features of the sill where the intensity changes define the spatial location of the door(s) in the image(s).
- 19. A method of detecting objects in an area as claimed in claim 17 wherein the presence of objects in the area of the image representing the sill is determined by at least using a substantially vertical edge detection filter to highlight predominately vertical features in the image which intersect the linear features of the sill.
- 20. A method of detecting objects in an area as claimed in claim 17 wherein the presence of objects in the area of the image representing the door edges is determined by at least using an edge detection filter to highlight predominate features in the image which intersect the linear features of the door.
- 21. A method of detecting objects in an area as claimed in any one of claims 17 to 20 wherein the operational step includes converting the edge detected image(s) to a histogram or histograms wherein peaks in the histograms represent features in the image(s), said features representing the door(s) and/or sill, and/or an obstruction or obstructions on the door edge(s) and/or sill.
- 25 22. A method of detecting objects in an area as claimed in any one of clams 17 to 21 wherein the operational stage may be repeated a plurality of times.
- A method of detecting objects and/or movement in objects, the method including the step of detecting parallax in two or more images of an area, the
 parallax produced by the presence of an object in the area.
 - 24. A method as claimed in claim 23 the method including the step of detecting temporal changes in the images of the area.

25. A method as claimed in claim 23 and 24 wherein the method includes the step of detecting vertical and horizontal parallax produced by an object located in the area.

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- 26. A method as claimed in claim 25 including the steps of aligning backgrounds of a plurality of images of an area and subtracting pairs of images so as to reveal, by way of parallax, the presence of objects in the area.
- A method as claimed in claim 23 or claim 26 wherein the method of detecting objects includes the steps of aligning backgrounds of a first and second image of an area and subtracting the first image from the second, thereby revealing, by way of parallax, the presence of a three dimensional object.
- 15 28. A method as claimed in claim 23, 26 or 27 wherein the method includes the steps of:

collecting a first image of an area from a first viewing point; collecting a second image of an area from a second viewing point; calculating the shift between the backgrounds of the two images; aligning the backgrounds of the two images; subtracting the two images to produce a third difference image; analysing the third difference image to detect parallax thereby revealing

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25 29. A method as claimed in claim 28 wherein following the subtraction step, and before the analysing step, there is a thresholding step whereby the difference image is thresholded to exclude noise thus producing a binary image.

the presence of a 3-dimensional object in the area.

30. A method as claimed in claims 28 or 29 wherein the third difference image is manipulated so as to contain substantially only the outlines of any 3-dimensional objects in the area.

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- 31. A method as claimed in any one of claims 28 to 30 wherein the images are divided into background images and door edge images wherein calculation of the necessary shift between the backgrounds of the two images is based on the images of the background when no object is present.
- 32. A method as claimed in any one of claims 28 to 31 wherein the shift is calculated using cross-correlation.
- 33. A method as claimed in any one of claims 28 to 32 wherein the images are blurred with gaussian, median or similar filters so as to reduce the effect of pixelation in the images.
 - 34. An apparatus for detecting objects in an area, said apparatus including at least one imaging means and a microprocessor apparatus adapted to carry out the method as claimed in any preceding claim.
 - 35. An apparatus for detecting objects in an area, the apparatus including:

 at least one imaging means adapted to image the same scene from at least two spatially separate viewing points; and microprocessor apparatus adapted to manipulate said images in such a way as to highlight substantially dominant linear features in said images and determine if any dominant linear features signify the presence of an object in the area.
- 25 36. An apparatus for detecting objects in an area, the apparatus including:

 at least one imaging means adapted to image substantially the same scene from at least two spatially separate viewing points; and microprocessor apparatus adapted to manipulate said images in order to calculate the shift between the backgrounds of the two images or pairs of images, align the background images based on said shift, subtract the resulting images to produce a difference image thereby allowing the detection of parallax effects in the difference image thus signifying the presence of an object in the area.

37. An apparatus for detecting objects in an area as claimed in claim 34 to 36 wherein the microprocessor is also adapted to manipulate the image or images to highlight substantially dominant linear features of the image(s).

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38. An apparatus for detecting objects in an area as claimed in any one of claims 34 to 37 wherein the images may be manipulated optically, mathematically or in a like manner which reveals dominant linear features and/or parallax in the image(s) of the area.

- 39. An apparatus for detecting objects in an area as claimed in any one of claims 34 to 38 wherein the microprocessor is further adapted to threshold the image(s).
- 15 40. An apparatus for detecting objects in an area as claimed in any one of claims 33 to 39 wherein the microprocessor may be in the form of a solid state, optical or the like device.
- 41. An apparatus for detecting objects in an area as claimed in any one of claims
 34 to 40 wherein a single camera is used and the apparatus includes an optical arm and reflection means adapted to relay an image from a viewing point that is displaced from the physical location of the camera.
- 42. An apparatus for detecting objects in an area as claimed in any one of claims
 34 to 41 wherein the collection of two or more images may be effected by
 optical means including prisms, coherent optical fibre guides, and the like or
 alternatively the imaging means themselves may be translated or suitably
 displaced.
- 30 43. An apparatus for detecting objects in an area as claimed in any one of claims 34 to 42 wherein there may be artificial features added to aid the microprocessor in highlighting substantially normal dominant features of the image(s).

44. An apparatus for detecting objects in an area as claimed in any one of claims 34 to 42 wherein there may also be an input means, the input means enabling a user to input the location of normal dominant features into the microprocessor.



REC'D 28	MAR 2001
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INTERNATIONAL PRELIMINARY EXAMINATION REPOR

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference FOR FURTHER ACTION.			ransmittal of International Preliminary (Form PCT/IPEA/416).				
••		ate (day/month/year)	Priority Date (day/month/year)				
PCT/NZ00/00013	11 February 2000		23 December 1999				
International Patent Classification (IPC)	or national classification	on and IPC					
Int. Cl. ⁷ B66B 13/26							
Applicant							
T L JONES LIMITED et al							
This international preliminary and is transmitted to the applic			nternational Preliminary Examining Authority				
2. This REPORT consists of a to	tal of 4 sheets, inclu	ding this cover sheet.					
been amended and are th	This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).						
These annexes consist of a tota	al of sheet(s).						
3. This report contains indications relation	ng to the following iten	ns:					
I X Basis of the report							
II Priority	Priority						
III Non-establishmer	nt of opinion with regar	d to novelty, inventive s	tep and industrial applicability				
IV X Lack of unity of it	nvention						
VI Certain document	ts cited		_ `				
VII Certain defects in	s in the international application						
VIII Certain observation	VIII Certain observations on the international application						
Date of submission of the demand		Date of completion of the	ne report				
7 September 2000		20 March 2001					
Name and mailing address of the IPEA/AU		Authorized Officer					
AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUST	PALIA						
E-mail address: pct@ipaustralia.gov.au		D.G. FRY					
Facsimile No. (02) 6285 3929		Telephone No. (02) 6283 2130					

I.	Basis of the report
1.	With regard to the elements of the international application:*
	X the international application as originally filed.
	the description, pages, as originally filed,
	pages , filed with the demand,
	pages, received on with the letter of
	the claims, pages, as originally filed,
	pages, as amended (together with any statement) under Article 19,
	pages, filed with the demand,
	pages, received on with the letter of
	the drawings, pages, as originally filed,
	pages, filed with the demand,
	pages, received on with the letter of
	the sequence listing part of the description:
	pages , as originally filed
	pages , filed with the demand
	pages, received on with the letter of
2.	With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.
	These elements were available or furnished to this Authority in the following language which is:
	the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
	the language of publication of the international application (under Rule 48.3(b)).
	the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2
	and/or 55.3).
3.	With regard to any nucleotide and/or amino acid sequence disclosed in the international application, was on the basis of the sequence listing:
	contained in the international application in written form.
	filed together with the international application in computer readable form.
	furnished subsequently to this Authority in written form.
	furnished subsequently to this Authority in computer readable form.
	The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
	The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished
4.	The amendments have resulted in the cancellation of:
	the description, pages
	the claims, Nos.
	the drawings, sheets/fig.
5.	This report has been established as if (some of) the amendments had not been made, since they have been considered to
<u> </u>	go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**
*	Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).
**	Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report

INTERNATIONAL PRELIMINATION REPORT

I ational application No.
PCT/NZ00/00013

IV.	Lack of unity of invention
1.	In response to the invitation to restrict or pay additional fees the applicant has:
	restricted the claims.
	paid additional fees.
	paid additional fees under protest.
	neither restricted nor paid additional fees.
2.	This Authority found that the requirement of unity of invention is not complied with and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.
3.	This Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is
	complied with.
,	X not complied with for the following reasons:
	Claims 1 and 35 define a method and apparatus which determines if dominant linear features intersect linear features defining the area. Claims 23 and 36 define the step of detecting parallax in two or more images of an area. There is no common special technical feature between these claims. The specification at page 40 lines 8-15 indicates such features are different.
	Thus the application is not directed to one invention only.
	-
4.	Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:
	X all parts.
	the parts relating to claims Nos.

v.	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1.	Statement		
	Novelty (N)	Claims 1 - 44	YES
		Claims	NO
	Inventive step (IS)	Claims 1 - 44	YES
		Claims	ЙО
	Industrial applicability (IA)	Claims 1 - 44	YES
		Claims	NO

2. Citations and explanations (Rule 70.7)

NOVELTY (N)

INVENTIVE STEP (IS)

US 5387768

US 5182776

DE 19522760

These citations of the Search Report are "A" category documents only, which disclose the general state of the art.

The first two documents are discussed at pages 2 and 3 of the present specification.

Specifically there is no disclosure in these documents, either individually or in any obvious combination, of the method of detecting objects by determining if any dominant linear features intersect linear features defining the area as set out in Claims 1 and 35, or the method of detecting parallax in two or more images of an area as set out in Claims 23 and 36.

Thus, the invention satisfies the requirements for novelty and inventive step.

INDUSTRIAL APPLICABILITY

The invention set out in the claims of this application satisfies the requirements for this criteria.